

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

09794353-0017

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

09/889532

INTERNATIONAL APPLICATION NO.

PCT/US00/08233

INTERNATIONAL FILING DATE

November 22, 2000

PRIORITY DATE CLAIMED

November 22, 1999

TITLE OF INVENTION DISPLAY DEVICE

APPLICANT(S) FOR DO/EO/US

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☒ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (Unexecuted)
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

## Items 11 to 20 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. (Unexecuted)
13. ☒ A **FIRST** preliminary amendment.
14. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information:

Certificate of Mailing Label No: EL281568761US

U.S. APPLICATION NO. **09/889592**

INTERNATIONAL APPLICATION NO.

PCT/US00/08233

ATTORNEY'S DOCKET NUMBER

November 22, 2000

21. ☒ The following fees are submitted:

BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):

Neither international preliminary examination fee (37 CFR 1.482)  
nor international search fee (37 CFR 1.445(a) (2)) paid to USPTO  
and International Search Report not prepared by the EPO or JPO ..... \$1000.00

International preliminary examination fee (37 CFR 1.482) not paid to  
USPTO but International Search Report prepared by the EPO or JPO ..... \$860.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO  
but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$710.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO  
but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$690.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO  
and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

CALCULATIONS PTO USE ONLY

\$ 860.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30  
months from the earliest claimed priority date (37 CFR 1.492(e)).

\$

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$
Total claims	37 -20 =	17	x \$18.00	\$ 306.00
Independent claims	37 -3 =	34	x \$80.00	\$ 2,720.00

MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$270.00 \$

TOTAL OF ABOVE CALCULATIONS =

\$ 3,886.00

☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above  
are reduced by 1/2. + \$

SUBTOTAL =

\$

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30  
months from the earliest claimed priority date (37 CFR 1.492(f)).

\$

TOTAL NATIONAL FEE =

\$ 3,886.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be  
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +

\$

0.00

TOTAL FEES ENCLOSED =

\$ 3,886.00

Amount to be  
refunded:

\$

charged:

\$

- a. ☒ A check in the amount of \$ 3,886.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any  
overpayment to Deposit Account No. 19-3140. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card  
information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR  
1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

David R. Metzger  
SONNENSCHN NATH & ROSENTHAL  
P.O. Box 061080  
Wacker Drive Station, Sears Tower  
Chicago, Illinois 60606  
United States of America

SIGNATURE

David R. Metzger

NAME

32,919

REGISTRATION NUMBER

526 Re PCT/PTO 18 JUL 2001

09/889532

IN THE UNITED STATES ELECTED OFFICE  
OF THE UNITED STATES PATENT AND TRADEMARK OFFICE  
UNDER THE PATENT COOPERATION TREATY-CHAPTER II

PRELIMINARY AMENDMENT

APPLICANT(S): Jiro Yamada, et al. ) ATTORNEYS DOCKET NO:  
SERIAL NO: ) 09794353-0017  
Filed: )  
INTERNATIONAL )  
APPLICATION NO: PCT/JP00/08233 )  
INTERNATIONAL )  
FILING DATE: 22 November 2000 )  
TITLE: DISPLAY DEVICE )

Honorable Commissioner for Patents and Trademarks  
Box PCT  
Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE OF MAILING

Express Mail" Mailing Label Number EL281568761US

Date of Deposit July 18, 2001

I hereby certify that the following items are being deposited with the United States Postal Services "Express Mail Post Office to Addressee" Service Under 37 CFR 1.10 on the date indicated above and is addressed to The Commissioner of Patents and Trademarks, Box PCT, Washington, D.C. 20231:

1. Transmittal Letter Under 35 U.C.S. 371
2. Filing Fee of \$3,886'
3. Specification (English Translation)
4. Specification as filed with Verification of Translation
5. PCT Request
6. Formal Drawings (18 Sheets)
7. Preliminary Amendment
8. Declaration (Unexecuted)
9. Assignment (Unexecuted)
10. IDS Statement
11. IDS Cover Sheet
12. USPTO Form 1449
13. 13 IDS References
14. International Search Report
15. Postcard
16. Express Certified Mailing No: EL281568761US

  
Signature of Person Mailing Application and Fees